

Disclosure Report

(Please submit report 10 days after the signing of each contract.)

Part I - Applicant/Grantee Information

Applicant /Grantee Name:				
Address:				
Phone Number:				
Indicate Whether the Report is the Initial or an Update:	Initial Report:		Update Report:	
Grant Number (Updates Only):				
Federal Employer Identification Number:				

Project Assisted/To Be Assisted:				
Fiscal Year:				
Entitlement Grant(s):				
Competitive Grant(s):				
a. Amount requested/received:				
b. Program Income to be used:				
Total of a. and b.:				

Part II - Threshold Determinations

<p>1. Is the amount listed at 3. e. (Above) more than \$200,000? Yes _____ No</p> <p>2. Have you received or applied for other HUD assistance (through programs listed in Appendix B of the instruction) which when added to 3. e. (Above) amounts to more than \$200,000? Yes _____ No</p> <p>If the answer to either 1 or 2 of this part is Ayes≡, then you must complete the remainder of this report.</p> <p>If the answer to both 1 and 2 of this part is Ano≡, then you are not required to complete the remainder of this report, but must sign the following certification. I hereby certify that this information is true.</p>	
---	--

Chief Elected Official Signature:			
Date:			

1. Provide the requested information for any other Federal, State and/or local governmental assistance, on hand or applied for, that will be used in conjunction with the CDBG grant. (See Appendix A of the Instructions).

[illegible]

Part IV - Interested Parties

[illegible]

Part V - Expected Sources and Uses of Funds

This Part requires that you identify the sources and uses of all assistance that have been or may be used in the project.

Source	Use

Part VI - Certification

I hereby certify that the information provided in this disclosure is true and correct and I am aware that any false information or lack of information knowingly made or omitted may subject me to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, I am aware that if I knowingly and materially violate any required disclosure of information, including intentional non-disclosure, I am subject to a civil money penalty not to exceed \$10,000 for each violation.

Chief Elected Official Signature:	
Date:	

INSTRUCTIONS FOR COMPLETION OF DISCLOSURE REPORT**APPENDIX A**

All applicants for CDBG grants after March 16, 1992, must complete and submit with their applications, Parts I and II of the Disclosure Report. At the completion of Part II of the report, some applicants will find that they must complete Parts III, IV, V, VI of the report.

Part I requires the applicant's name, address, phone and Federal Employer Identification number; indication as to whether this is an initial report or an update (all applicants will check the initial report box); the fiscal year CDBG funds subject to the disclosure; a check as to whether the disclosure is related to an entitlement or competitive application; the amount of CDBG funds being requested; the amount of any CDBG program income that will be used with the CDBG grant; and, the total amount (grant and program income).

Part II asks two questions. If the answer to both questions is *No*, the applicant must provide the certification at the end of Part II, but is not required to complete the remainder of the report. If the answer to either question is *Yes*, then the applicant must complete the remainder of the report.

Part III requires information on any other Federal, State and/or local assistance that is to be used in conjunction with the CDBG project.

Part IV requires the identification of interested parties. Interested parties are persons and entities with a reportable financial interest in the project. If an entity is being disclosed, the disclosure in Part IV must include an identification of each officer, director, principal stockholder or other official of the entity. All consultants, developers or contractors involved in the application for CDBG assistance, or in the planning, development or implementation of the project, must be identified as an interested party. Also, any other person or entity that has a pecuniary interest in the project that exceeds \$50,000 or 10 percent of the CDBG assistance, whichever is lower, must be listed as an interested party. Pecuniary interest means any financial involvement in the project, including (but not limited to) situations in which a person or entity has an equity interest in the project, shares in any profit or receives compensation for any goods or services provided in conjunction with the project. (The following are not considered interested parties: local CDBG administrative staff, recipients of housing rehab assistance, and rehab contractors as long as the rehab agreement is between the property owner and the contractor.)

It is realized that at the time of application, applicants may not be aware of all interested parties since contracts and agreements for goods and services are not generally awarded until after notice of grant award. Subsequent to grant award, as projects are being implemented, funds will be committed to interested parties which will necessitate the submission of an updated Disclosure Report. However, if an applicant for CDBG funds identifies, under Part III of the Disclosure Report, other governmental assistance that is to be used in conjunction with projects funded with CDBG funds and, if these other funds have been committed to interested parties, then these interested parties must be identified in Part IV of the initial report.

Part V requires applicants to identify the sources and uses of all funds to be used in conjunction with the CDBG funded project. The sources and uses must include all the other assistance identified in Part III as well as the CDBG funds identified in Part I, items 3c and 3d.

Part VI requires the certification of the Chief Elected Official.